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FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 7-2005) ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 112701-692 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 156361 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE INTERNATIONAL APPLICATION NO. 10 July 2003 PCT/EP04/006672 21 June 2004 TITLE OF INVENTION CAP EXTRACTION DEVICE APPLICANT(S) FOR DO/EO/US Ryser et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. \boxtimes The US has been elected (Article 31). 4. \boxtimes A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). a. 🛛 has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6.- \boxtimes a. 🛛 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7: \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). 1 b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. c. \boxtimes have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. \boxtimes An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). \boxtimes A copy of the International Preliminary Examination Report (PCT/IPEA/409). \boxtimes A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. 15. \boxtimes A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. A power of attorney and/or change of address letter. 18. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 19. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 21

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PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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u.s. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP04/006672 112701-692 Other items or information: 23. Power of Attorney (1 page) Certified Copy of Priority Document (EP 03015776.2) Return Receipt Postcard **CALCULATIONS PTO USE** The following fees have been submitted: 24. \$300 \$300.00 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report \$200.00 prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the \$500.00 \$100 International Search Report prepared by an ISA other than the US and provided to the \$400 \$1,000.00 TOTAL OF 24, 25 and 26 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).

The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or **Total Sheets** RATE Extra Sheets fraction thereof (round up to a whole /50 = \$ \$0.00 \$250.00 31 -100 =0 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA RATE **CLAIMS** NUMBER FILED - 20 = \$50.00 \$ \$0.00 Total claims 16 0 х Independent claims 3 \$200.00 \$0.00 Х \Box MULTIPLE DEPENDENT CLAIMS (if applicable) \$360.00 \$0.00 **TOTAL OF ABOVE CALCULATIONS =** \$1,000.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by \$0.00 SUBTOTAL = \$1,000.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ \$0.00 the earliest claimed priority date (37 CFR 1.492(i)). \$ \$1,000.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ \$0.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property **TOTAL FEES ENCLOSED =** \$1,000.00 Amount to be Amount to be \$

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a. X A check in the amount of \$ \$1,000.00 A Table 3.

a.	×	A check in the amount of \$	\$1,000.00	to cover the above fees is enclosed.		
b.		Please charge my Deposit Ad A duplicate copy of this sheet		in the amount of \$	to cover the above fees.	
C.	X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No02-1818 A duplicate copy of this sheet is enclosed.				
d.		Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Robert M. Barrett (30,142)				SIGNATURE	SIGNATURE	
Customer No.: 29157				Robert M. Barrett		
				NAME		
				30,142	30,142	
				REGISTRATION NUMBER January 6, 2006		
DAT				DATE		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ryser et al.

Appl. No.:

PCT/EP04/006672

Filed:

21 June 2004

Title:

CAP EXTRACTION DEVICE

Art Unit:

Unknown

Examiner:

Unknown

Docket No.:

112701-692

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

I hereby certify that the following documents relating to the above-identified application:

- 1. Transmittal Letter (3 pages) (duplicate);
- 2. Copy of International Application As Filed (31 pages);
- 3. Translation of International Application As Filed (16 pages);
- 4. Copy of Preliminary Examination Report (5 pages);
- 5. Copy of International Search Report (6 pages);
- 6. Unexecuted Declaration (3 pages);
- 7. Power of Attorney (1 page);
- 8. Preliminary Amendment (6 pages);
- 9. Certified Copy of Priority Document (EP 03015776.2);
- 10. Check in the Amount of \$1,000.00; and
- 11. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on January 6, 2006

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Heather Foster

Name of Person Mailing Correspondence

Signature

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